

## Client Privacy Policy of Frazier Financial Advisors, LLC

To Our Clients:

Your privacy is important to us, and maintaining your trust and confidence is our highest priority. We respect your right to keep your personal information confidential.

### A. Types of Information We Collect

We collect certain personal information about you – but only when that information is provided by you or is obtained by us with your authorization. We use that information to manage your investments, prepare tax returns, and provide various tax and financial planning services to you at your request.

Examples of sources from which we collect information include:

- Interviews and phone calls with you,
- Letters, faxes, or e-mails from you,
- Brokerage statements, and
- Tax return or financial planning related statements

### B. Parties to Whom We Disclose Information

As a general rule, we do not disclose personal information about our clients or former clients to anyone. However, to the extent permitted by law and any applicable Code of Professional Conduct, certain nonpublic information about you may be disclosed in the following situations:

1. To comply with a subpoena or summons as part of legal or resolution proceedings.
2. In the course of a review of our firm's practices under the authorization of a state or national licensing board, or as necessary to respond to an inquiry.
3. In conjunction with a prospective purchase, sale, or merger of all or part of our practice, provided that we take appropriate precautions (for example, through a written confidentiality agreement) so the prospective purchaser or merger partner does not disclose information.
4. To provide information to affiliates of the firm and nonaffiliated third parties who perform services or functions for us in conjunction with our services to you. (Examples of such disclosures include using an outside vendor to process tax returns, financial statements, asset custody relationships, corporate trustee, or engaging an email and records-retention agency.)

### C. Confidentiality and Security of Nonpublic Personal Information

Except as otherwise described in this policy, we restrict access to nonpublic personal information about you to employees and consultants of our firm and other parties who must use that information to provide services for you. Their right to further disclose and use the information is limited by the policies of our firm, applicable law, our Code of Professional Conduct, and nondisclosure agreements where appropriate. We also maintain physical, electronic, and procedural safeguards in compliance with applicable laws and regulations to guard your personal information from unauthorized access or alteration.

**We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.**

Thank you for allowing us to serve your tax, financial planning, and investment needs. We value your business and are committed to protecting your privacy. We hope you view our firm as your most trusted adviser and we will work to continue earning your trust. Please call us if you have any questions or if we can be of further service.

Frazier Financial Advisors, LLC, Form ADV is available upon request.