Financial Planning Document Checklist

Please take the time to assemble the most recent statements or documents that are applicable to you:

Retirement Planning Documents

- ☐ 401(k), 403(b), IRAs (Roth, SEP, SIMPLE)
- ☐ Deferred compensation and stock incentives (stock plans, options, RSUs)
- ☐ Pension and profit sharing statements
- ☐ Social Security and other government pensions

Tax Planning Documents

- ☐ Most recent federal, state, and local income tax returns
- ☐ W-2 and a recent pay stub

Financial Documents

- ☐ Mutual fund statements
- ☐ Brokerage account statements
- ☐ Mortgage, home equity loans
- ☐ 529 plan statements
- ☐ Annuity policies and statements

Asset Protection Documents

- ☐ Life insurance policies and statements
- ☐ Disability, umbrella, and long term care insurance policies

Estate Planning Documents

- ☐ Summary of your will, living will, durable powers of attorney and health care powers
- ☐ Living trusts

Additional Notes