



# Financial Planning Document Checklist

Please take the time to assemble the most recent statements or documents that are applicable to you:

## Retirement Planning Documents

- 401(k), 403(b), IRAs (Roth, SEP, SIMPLE)
- Deferred compensation and stock incentives (stock plans, options, RSUs)
- Pension and profit sharing statements
- Social Security and other government pensions

## Tax Planning Documents

- Most recent federal, state, and local income tax returns
- W-2 and a recent pay stub

## Financial Documents

- Mutual fund statements
- Brokerage account statements
- Mortgage, home equity loans
- 529 plan statements
- Annuity policies and statements

## Asset Protection Documents

- Life insurance policies and statements
- Disability, umbrella, and long term care insurance policies

## Estate Planning Documents

- Summary of your will, living will, durable powers of attorney and health care powers
- Living trusts

## Additional Notes